Diploma of Financial Planning

Want to work as a financial planner? This course is highly regarded in the industry and will provide you with the communication and technical skills required to succeed as a financial planner.

TAFE NSW Course No: 10735 | National Code: FNS5061 | Nationally Recognised Training

Course delivery
This online program allows you to complete your Diploma of Financial Planning within one year. Studying online, anywhere, anytime, means you can control your own learning at a pace you are comfortable with. A dedicated online facilitator (teacher) manages the learning environment, communicates with students, supports student learning and provides feedback on work submitted.

Course structure
This course satisfies the requirements under ASIC RG146.

The Diploma of Financial Planning is structured into the following clusters, with each cluster having several units:

Ethics & Professional Practice
- FNSFPL501A Comply with financial planning practice ethical and operational guidelines and regulations
- FNSINC401A Apply principles of professional practice to work in the financial services industry

Client Requirements & Expectations
- FNSFPL506A Determine client requirements and expectations
- FNSCUS505A Determine client requirements and expectations
- FNSASIC301C Establish client relationship and analyse needs

Spread sheets
- BSBITU402A Develop and use complex spread sheets

Financial Planning Research
- FNSFPL502A Conduct financial planning analysis and research
- FNSFPL508A Conduct complex financial planning research
- FNSINC501A Conduct product research to support recommendations
Provide Appropriate Advice & Services
FNSASICZ503A Provide advice in Financial Planning
FNSIAD501A Provide appropriate services, advice and products to clients

Financial Plan Preparation & Implementation
FNSFPL503A Develop and prepare financial plan
FNSFPL504A Implement financial plan
FNSFPL505A Review financial plans and provide ongoing service
FNSCUS506A Record and implement client instructions
FNSASIC302C Develop, present and negotiate client solutions
FNSFPL507A Provide financial planning advice

Assessments
A range of assessment methods are used in this course. These may include projects, practical exercises and reports.

Career opportunities
Upon completion of this diploma, you will be qualified to work as a financial planner. The Diploma of Financial Planning is approved by the Australian Securities and Investments Commission (ASIC) and meets the minimum licensing requirement for a career as a financial planner in Australia.

Career pathways
Students who complete this course may continue with a pathway that leads to the advanced diploma course which is also offered through the Northern Sydney Institute.

Recognition of Prior Learning
TAFE NSW recognises the skills and knowledge you have gained in other courses, life experience or at work. You may be able to have your prior learning recognised and credited towards a course of study. Your online program will provide you with information on assessing your own skills against the qualification. For further general information call 131 674 or go to www.nsi.edu.au/recognition.

How to register
For registration, enrolment details and course enquiries email nsi.onlinecourses@tafensw.edu.au or call 02 9448 4518.

Terms and Conditions
Withdrawals must be submitted on Withdrawal/Refund application form for Vet Fee Help Students.

- A 10% administration fee may apply to withdrawals after an advertised administration date and before an advertised census date for each Unit of Study.
- Students withdrawing from a Unit of Study after an advertised Census date, will be liable for the TAFE NSW full tuition fee or Vet Fee Help debt for that Unit of Study.

TAFE NSW courses commence only if there are sufficient resources and demand. Every effort was made to ensure this information was accurate as at January 2014. Changes may have taken place since that time. Contact the Northern Sydney Institute, part of TAFE NSW to confirm course details and availability.

For further information:
Phone: 131 674 Email: nsi.onlinecourses@tafensw.edu.au
The Northern Sydney Institute, part of TAFE NSW
Crows Nest Campus
149 West Street Crows Nest NSW 2065